

# EXISTENCE OF A UNIQUE MAXIMAL SUBCOALGEBRA WHOSE ACTION IS INNER

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## ABSTRACT

Let  $C$  be a coalgebra over a field  $k$ . Fix an algebra map  $\alpha: R \rightarrow A$ . Introducing the notion of cleft forms, we show that, for any measuring  $\phi: C \rightarrow \text{Hom}(R, A)$ , there is a unique maximal subcoalgebra  $D$  of  $C$  such that  $\phi|_D$  is inner.

## Introduction

We work over a field  $k$ . Let  $C$  be a coalgebra, and  $R, A$  algebras (over  $k$ ). A linear map  $\phi: C \rightarrow \text{Hom}(R, A)$  is called a *measuring*, if the action represented by  $\phi$

$$C \otimes R \rightarrow A, \quad c \otimes x \mapsto c[x] \quad (= \phi(c)(x))$$

measures  $R$  to  $A$  [S, p. 139], i.e.,

$$c[1] = \varepsilon(c)1, \quad c[xy] = \sum_{(c)} c_{(1)}[x]c_{(2)}[y]$$

for  $c \in C, x, y \in R$ . If an algebra map  $\alpha: R \rightarrow A$  is fixed, the notion of inner measurings is defined as follows: A measuring  $\phi: C \rightarrow \text{Hom}(R, A)$  is said to be *inner* (with respect to  $\alpha$ ), if there is a  $*$ -invertible linear map  $u: C \rightarrow A$  such that  $\phi = \text{inn } u$ , where  $\text{inn } u$  is determined by

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$$\text{inn } u(c)(x) = \sum_{(c)} u(c_{(1)})\alpha(x)u^{-1}(c_{(2)})$$

for  $c \in C$ ,  $x \in R$ . The purpose of this paper is to prove:

**THEOREM 9.** *For any measuring  $\phi: C \rightarrow \text{Hom}(R, A)$ , there is a unique maximal subcoalgebra  $D$  of  $C$  such that  $\phi|_D$  is inner.*

This is an affirmative answer to the coalgebra version of the following question raised by Colin Sutherland:

**QUESTION [Mo, 6.3].** Let  $H$  be a Hopf algebra and let  $A$  be a left  $H$ -module algebra represented by  $\phi: H \rightarrow \text{End } A$ . Then, is there a unique maximal Hopf subalgebra  $K$  of  $H$  such that  $\phi|_K$  is inner?

To prove our Theorem 9, we introduce the notion of (*cleft*) *forms*, a modification of *Galois subalgebras* due to Doi and Takeuchi. We will show in Proposition 8 that there is a 1-1 correspondence between the cleft forms  $\subset C \otimes A$  and the inner measurements  $C \rightarrow \text{Hom}(R, A)$ . Considering cleft forms instead of inner measurements, we can argue comodule-theoretically. This method is useful for us to examine inner actions of coalgebras or Hopf algebras, as is shown in [Ma2, Section 3], too.

We write  $\otimes = \otimes_k$ ,  $\text{Hom} = \text{Hom}_k$  and  $\text{End} = \text{End}_k$ . Modules mean *right* modules and comodules mean *left* comodules.

Let  $A$  be an algebra and let  $C$  be a coalgebra with the structure  $\Delta, \varepsilon$ . We write as usual

$$\Delta(c) = \sum_{(c)} c_{(1)} \otimes c_{(2)}, \quad c \in C.$$

$\text{Hom}(C, A)$  is an algebra with the  $*$ -product [S, p. 69].  $\text{Reg}(C, A)$  denotes the group of  $*$ -invertible linear maps  $C \rightarrow A$ .

$C \otimes A$ , being naturally a (right)  $A$ -module, has a  $C$ -comodule structure

$$C \otimes A \xrightarrow{\Delta \otimes \text{id}} C \otimes C \otimes A,$$

which is an  $A$ -module map.

**DEFINITION and LEMMA 1.** (a) For  $u \in \text{Hom}(C, A)$ , define  $\hat{u} \in \text{End}(C \otimes A)$  by

$$\hat{u}(c \otimes a) = \sum_{(c)} c_{(1)} \otimes u(c_{(2)})a$$

for  $c \in C, a \in A, u \mapsto \hat{u}$  gives a 1-1 correspondence between  $\text{Hom}(C, A)$  and the set of  $C$ -comodule and  $A$ -module endomorphisms of  $C \otimes A$ .

(b) Let  $u, v \in \text{Hom}(C, A)$ . We have

$$\widehat{u * v} = \hat{u} \circ \hat{v},$$

$$\hat{e} = \text{id}_{C \otimes A}$$

so that  $u$  is  $*$ -invertible  $\Leftrightarrow \hat{u}$  is an automorphism.

PROOF. (a) It follows from [D, Prop. 3, p. 33] that the above set is identified with

$$\text{Hom}_{-A}(C \otimes A, A) = \text{Hom}(C, A)$$

via  $\hat{u} \leftrightarrow u$ .

(b) This is verified easily.

Q.E.D.

Let  $B$  be a subalgebra of  $A$ .

DEFINITION 2. Let  $N \subset C \otimes A$  be a  $C$ -subcomodule as well as a (right)  $B$ -submodule.  $N$  is called a  $B$ -form of  $C \otimes A$ , if the canonical map

$$N \otimes_B A \rightarrow C \otimes A, \quad n \otimes a \mapsto na$$

is an isomorphism. A  $B$ -form  $N \subset C \otimes A$  is said to be *cleft*, if there is a (left)  $C$ -comodule and (right)  $B$ -module isomorphism  $N \simeq C \otimes B$ .

Here the notion of  $B$ -forms is a modification of *Galois subalgebras* due to Doi and Takeuchi [DT, Def. 6.8, pp. 509–510].

DEFINITION 3. For  $u \in \text{Reg}(C, A)$ , denote by

$$N(u)$$

the image of  $\hat{u} \mid_{C \otimes B} : C \otimes B \rightarrow C \otimes A$ .

LEMMA 4. Let  $u, v \in \text{Reg}(C, A)$ .

(a)  $N(u)$  is a cleft  $B$ -form of  $C \otimes A$ . Conversely, any cleft  $B$ -form of  $C \otimes A$  is of the form  $N(u)$  for some  $u \in \text{Reg}(C, A)$ .

(b)  $N(u) \supset N(v) \Leftrightarrow u^{-1} * v \in \text{Hom}(C, B)$ .

(c)  $N(u) = N(v) \Leftrightarrow u^{-1} * v \in \text{Reg}(C, B)$ .

PROOF. (a) Since  $u \in \text{Reg}(C, A)$ ,  $\hat{u} \mid_{C \otimes B} : C \otimes B \rightarrow N(u)$  is an isomorphism. So  $N(u)$  will be a cleft  $B$ -form of  $C \otimes A$  provided the canonical

map  $N(u) \otimes_B A \rightarrow C \otimes A$  is an isomorphism. This follows by viewing the commutative diagram:

$$\begin{array}{ccc}
 C \otimes A & \xrightarrow[\cong]{\sim} & C \otimes A \\
 \parallel & & \uparrow \text{cano.} \\
 (C \otimes B) \otimes_B A & \xrightarrow[\cong]{\sim} & N(u) \otimes_B A
 \end{array}$$

Conversely, suppose  $N$  is a cleft  $B$ -form  $\subset C \otimes A$  and let  $f: C \otimes B \xrightarrow{\sim} N$  be a  $C$ -comodule and  $B$ -module isomorphism. By Lemma 1, there is  $u \in \text{Reg}(C, A)$  satisfying:

$$\begin{array}{ccccc}
 C \otimes A & \xrightarrow[\cong]{\sim} & C \otimes A & & \\
 \parallel & \circlearrowleft & \uparrow \text{cano.} & & \\
 (C \otimes B) \otimes_B A & \xrightarrow[\cong]{\sim} & N \otimes_B A & \subset & C \\
 \uparrow & \circlearrowleft & \uparrow & & \uparrow \text{incl.} \\
 C \otimes B & \xrightarrow[\cong]{\sim} & N & & 
 \end{array}$$

Hence  $N = N(u)$ .

(b) ( $\Rightarrow$ ) Let  $i$  be the inclusion map  $N(v) \subset N(u)$ . Since the composition  $(\hat{u}^{-1})|_{N(u)} \circ i \circ \hat{v}|_{C \otimes B}$  is a  $C$ -comodule and  $B$ -module endomorphism of  $C \otimes B$ , by Lemma 1 there is  $t \in \text{Hom}(C, B)$  satisfying:

$$\begin{array}{ccc}
 C \otimes B & \xrightarrow{i} & C \otimes B \\
 \downarrow \hat{v} & \circlearrowleft & \downarrow \hat{u} \\
 N(v) & \hookrightarrow & N(u)
 \end{array}$$

Hence we have for  $c \in C$

$$\sum_{(c)} c_{(1)} \otimes v(c_{(2)}) = \sum_{(c)} c_{(1)} \otimes u * t(c_{(2)}) \quad \text{in } C \otimes A,$$

where we view  $t \in \text{Hom}(C, A)$  via  $\text{Hom}(C, B) \subset \text{Hom}(C, A)$ . Applying  $\varepsilon \otimes \text{id}_A$ , we have  $v = u * t$ , so that  $u^{-1} * v(C) \subset B$ . ( $\Leftarrow$ ) This is easily verified.

(c) This follows from (b).

Q.E.D.

Let  $\square_C$  be the cotensor product [D, §1], [T2, Appendix 2].

LEMMA 5. Let  $u \in \text{Reg}(C, A)$  and let  $D \subset C$  be a subcoalgebra. Then

$$N(u|_D) = D \square_C N(u) = N(u) \cap D \otimes A.$$

PROOF. This follows by applying  $D \square_C$  - to

$$C \otimes B \xrightarrow[u]{\sim} N(u) \quad (\subset C \otimes A).$$

In general, for a  $C$ -comodule  $V$ ,  $D \square_C V$  is a unique maximal  $D$ -subcomodule contained in  $V$ . In particular  $D \square_C (C \otimes B) = D \otimes B$ , so the first equality holds. The latter equality holds, since  $D \square_C (C \otimes A) = D \otimes A$ . Q.E.D.

For a  $C$ -comodule  $V$ , denote by  $V_0$  the socle of  $V$ . In particular  $C_0$  is the coradical of  $C$ , the direct sum of simple subcoalgebras  $\subset C$ , and  $V_0 = C_0 \square_C V$ .

PROPOSITION 6. Let  $B$  be an algebra and let  $N$  be a  $B$ -module which has such a  $C$ -comodule structure  $N \rightarrow C \otimes N$  that is a  $B$ -module map. (Then  $N_0$  is a  $B$ -submodule of  $N$ .) Suppose that  $N$  is an injective  $C$ -comodule and that there is a  $C_0$ -comodule and  $B$ -module isomorphism  $N_0 \simeq C_0 \otimes B$ . Then there is a  $C$ -comodule and  $B$ -module isomorphism  $N \simeq C \otimes B$ .

PROOF. Call the isomorphism  $g: C_0 \otimes B \xrightarrow{\sim} N_0$ . Since  $N$  is  $C$ -injective, the composition

$$C_0 \subset C_0 \otimes B \xrightarrow{g} N_0 \subset N$$

can be extended to a  $C$ -comodule map  $f: C \rightarrow N$ . Then

$$\tilde{f}: C \otimes B \rightarrow N, \quad \tilde{f}(c \otimes b) = f(c)b$$

is a  $C$ -comodule and  $B$ -module map which is an extension of  $g$ . Since  $\tilde{f}$  is injective on the socle  $(C \otimes B)_0 = C_0 \otimes B$ ,  $\tilde{f}$  is injective. Since the "free" comodule  $C \otimes B$  is  $C$ -injective [D, Cor. 1, p. 33] and since  $\tilde{f}(C_0 \otimes B) = N_0$ ,  $\tilde{f}$

is an isomorphism.

Q.E.D.

In the following we use the next:

**NOTATION 7.** Let  $R$  be another algebra and fix an algebra map  $\alpha: R \rightarrow A$ . Define

$$B = \{a \in A \mid a\alpha(x) = \alpha(x)a, \forall x \in R\}.$$

Recall the definition of inner measurings in Introduction.

**PROPOSITION 8.** (a) Let  $u, v \in \text{Reg}(C, A)$ . We have

$$\begin{aligned} \text{inn } u = \text{inn } v &\Leftrightarrow u^{-1} * v \in \text{Reg}(C, B) \Leftrightarrow u^{-1} * v \in \text{Hom}(C, B) \\ &\Leftrightarrow N(u) = N(v) \quad \Leftrightarrow N(u) \supset N(v). \end{aligned}$$

Thus  $\text{inn } u \leftrightarrow N(u)$  gives rise to a 1-1 correspondence between the set of inner measurings  $C \rightarrow \text{Hom}(R, A)$  and the set of cleft  $B$ -forms of  $C \otimes A$ .

(b) Let  $D \subset C$  be a subcoalgebra. Let  $\phi: C \rightarrow \text{Hom}(R, A)$ ,  $\psi: D \rightarrow \text{Hom}(R, A)$  be inner measurings and let  $N \subset C \otimes A$ ,  $L \subset D \otimes A$  be the corresponding cleft  $B$ -forms. Then

$$\psi = \phi|_D \Leftrightarrow L = D \square_C N \Leftrightarrow L \subset N.$$

**PROOF.** (a) The first and second “ $\Leftrightarrow$ ” are proved in the same way as [BCM, Lemma 1.13(1), p. 676]: One can write  $\text{inn } u = u * x\varepsilon * u^{-1}$ , where  $x\varepsilon(c) = \alpha(x)\varepsilon(c)$  for  $c \in C$ . Then one can show  $\text{inn } u = \text{inn } v$  if and only if  $u^{-1} * v(C) \subset B$  (and  $v^{-1} * u(C) \subset B$ ). The remainder follows from Lemma 4.

(b) The first “ $\Leftrightarrow$ ” follows from Lemma 5. The latter “ $\Leftrightarrow$ ” follows from part (a) above, since  $D \square_C N$  is a cleft  $B$ -form of  $D \otimes A$ . Q.E.D.

**THEOREM 9.** For any measuring  $\phi: C \rightarrow \text{Hom}(R, A)$ , there is a unique maximal subcoalgebra  $D$  of  $C$  such that  $\phi|_D$  is inner.

The 1-1 correspondence in Proposition 8 enables us to prove Theorem 9 in terms of cleft  $B$ -forms. To begin with, we show the existence of a maximal subcoalgebra whose action is inner. For this purpose it suffices by the Zorn lemma to prove:

**LEMMA 10.** Let  $\{C_\lambda\}_{\lambda \in \Lambda}$  be a set of subcoalgebras of  $C$  which is totally ordered under inclusion. Let  $N_\lambda \subset C_\lambda \otimes A$  ( $\lambda \in \Lambda$ ) be cleft  $B$ -forms such that  $C_\lambda \subset C_\mu$  implies  $N_\lambda \subset N_\mu$ . Write

$$D = \bigcup_{\lambda} C_{\lambda}, \quad N = \bigcup_{\lambda} N_{\lambda}.$$

Then  $N$  is a cleft  $B$ -form of  $D \otimes A$ .

PROOF. Applying  $\varinjlim$  to the canonical isomorphisms

$$N_{\lambda} \otimes_B A \simeq C_{\lambda} \otimes A,$$

we have that  $N$  is a  $B$ -form of  $D \otimes A$ . To show  $N$  is cleft, by Proposition 6 we have only to prove Claims 11–12 below.

CLAIM 11.  $N$  is an injective  $D$ -comodule.

Since  $C_{\lambda} \subset C_{\mu}$  implies  $N_{\lambda} = C_{\lambda} \square_{C_{\mu}} N_{\mu} = C_{\lambda} \square_D N_{\mu}$  by Proposition 8(b), one has

$$N_{\lambda} = C_{\lambda} \square_D N.$$

To show any  $D$ -comodule map  $h: V \rightarrow N$  can be extended to a  $D$ -comodule  $W$  including  $V$ , we may assume that  $V$  and  $W$  are finite dimensional [T2, p. 1527, 11.19–21]. Then there is  $C_{\lambda}$  such that  $W$  (hence  $V$ ) is a  $C_{\lambda}$ -comodule (i.e.,  $W = C_{\lambda} \square_D W$ ). Since  $h(V) \subset N_{\lambda} = C_{\lambda} \square_D N$  and since  $N_{\lambda} (\simeq C_{\lambda} \otimes B)$  is  $C_{\lambda}$ -injective,  $h$  can be extended to  $W$ . Thus  $N$  is  $D$ -injective.

CLAIM 12. There is a  $D_0$ -comodule and  $B$ -module isomorphism  $N_0 \simeq D_0 \otimes B$ .

Let  $E$  be a simple subcoalgebra  $\subset D$ . There is  $C_{\lambda}$  which includes  $E$ . Then we have

$$E \square_D N = E \square_{C_{\lambda}} C_{\lambda} \square_D N = E \square_{C_{\lambda}} N_{\lambda} \simeq E \otimes B.$$

Hence  $N_0 \simeq D_0 \otimes B$ , as is required.

Q.E.D.

Let  $D, D'$  be two maximal subcoalgebras  $\subset C$  whose actions are inner. Since  $D_0 = (D' \cap D_0) \oplus E$  for some subcoalgebra  $E \subset D_0$ , we have  $D' + D_0 = D' \oplus E$ . By the maximality of  $D'$  we have  $D_0 \subset D'$ , so  $D_0 \subset D'_0$ . By symmetry we have  $D_0 = D'_0$ . Therefore the proof of Theorem 9 will be completed by the following lemma, which tells that “maximal” implies “unique maximal”.

LEMMA 13. Let  $D, D' \subset C$  be subcoalgebras such that  $D_0 = D'_0$ . Let  $N \subset D \otimes A, N' \subset D' \otimes A$  be cleft  $B$ -forms such that

$$(D \cap D') \square_D N = (D \cap D') \square_{D'} N'.$$

Then  $N + N'$  is a cleft  $B$ -form of  $(D + D') \otimes A$ . (So the inner measurings

$D \rightarrow \text{Hom}(R, A)$  and  $D' \rightarrow \text{Hom}(R, A)$  corresponding to  $N$  and  $N'$  respectively can be extended uniquely to an inner measuring  $D + D' \rightarrow \text{Hom}(R, A)$ .)

PROOF. Write  $E = D \cap D'$ ,  $L = E \square_D N = E \square_{D'} N'$ . One has

$$\begin{aligned} E_0 &= D_0 \cap D'_0 = D_0 = D'_0, \\ L_0 &= E_0 \square_D N = D_0 \square_D N = N_0 \\ &= E_0 \square_{D'} N' = D'_0 \square_{D'} N' = N'_0. \end{aligned}$$

We claim  $L = N \cap N'$ . Clearly  $L \subset N \cap N'$ . Since  $L (\simeq E \otimes B)$  is  $E$ -injective and since  $N \cap N' (\subset E \otimes A)$  is an  $E$ -comodule such that  $L_0 = (N \cap N')_0$ , we have  $L = N \cap N'$ .

Let  $g: E \otimes B \xrightarrow{\sim} L$  be an  $E$ -comodule and  $B$ -module isomorphism. Since  $(D \otimes B)_0 = E_0 \otimes B$  and  $N_0 = L_0$ , it follows in the same way as the proof of Proposition 6 that  $g$  can be extended to a  $D$ -comodule and  $B$ -module isomorphism  $f: D \otimes B \xrightarrow{\sim} N$ . Similarly  $g$  is extended to  $f': D' \otimes B \xrightarrow{\sim} N'$ . We have that there is a  $(D + D')$ -comodule and  $B$ -module isomorphism  $(D + D') \otimes B \xrightarrow{\sim} N + N'$  from the following commutative diagram with exact rows:

$$\begin{array}{ccccccc} 0 \rightarrow (D \cap D') \otimes B & \rightarrow & (D \oplus D') \otimes B & \rightarrow & (D + D') \otimes B & \rightarrow & 0 \\ & \wr \downarrow g & & \wr \downarrow f \oplus f' & & & \\ 0 \rightarrow L = N \cap N' & \rightarrow & N \oplus N' & \rightarrow & N + N' & \rightarrow & 0 \end{array}$$

The proof will be completed, if we show that  $N + N'$  is a  $B$ -form of  $(D + D') \otimes A$ . This follows from the commutative diagram with exact rows:

$$\begin{array}{ccccccc} (N \cap N') \otimes_B A & \rightarrow & (N \oplus N') \otimes_B A & \rightarrow & (N + N') \otimes_B A & \rightarrow & 0 \\ & \wr \downarrow & & \wr \downarrow & & \downarrow & \\ 0 \rightarrow (D \cap D') \otimes A & \rightarrow & (D \oplus D') \otimes A & \rightarrow & (D + D') \otimes A & \rightarrow & 0 \end{array}$$

where all arrows are canonical ones.

Q.E.D.

After the author submitted the earlier manuscript for publication, M. Takeuchi and M. Koppinen independently wrote to him a quick proof of Theorem 9. Here we sketch their proof. Let the notations be the same as in Notation 7 and Theorem 9. First, one can show from [T1, Lemma 14, p. 568] the following:



LEMMA A. Let  $D \subset C$  be a subcoalgebra. Then the restriction map  $\text{Reg}(C, A) \rightarrow \text{Reg}(D, A)$  is a surjection.

Let  $\mathcal{X}$  be a set of all pairs  $(D, u)$  such that  $D$  is a subcoalgebra of  $C$ ,  $u \in \text{Reg}(D, A)$  and  $\phi|_D = \text{inn } u$ . Introduce into  $\mathcal{X}$  a natural order determined by

$$(D, u) < (D', u') \Leftrightarrow D \subset D' \text{ and } u = u'|_D.$$

By the Zorn Lemma, there is a maximal pair  $(D_m, u_m)$ . The proof will be completed, if one shows that  $D_m \supset D$  for any  $(D, u) \in \mathcal{X}$ . This follows, since one has the following:

LEMMA B. Let  $(D, u), (D', u') \in \mathcal{X}$ . Then there is  $v \in \text{Reg}(D + D', A)$  such that  $(D, u) < (D + D', v)$ . Hence, if  $(D, u)$  is maximal,  $D \supset D'$ .

PROOF. Write  $E = D \cap D'$ . By Proposition 8(a),  $(u'|_E)^{-1} * (u|_E) \in \text{Reg}(E, B)$ . This can be extended to some  $w \in \text{Reg}(D', B)$  by Lemma A. Then  $u|_E = (u' * w)|_E$  again by Proposition 8(a). Hence one can define a linear map  $v: D + D' \rightarrow A$  by  $v|_D = u$  and  $v|_{D'} = u' * w$ . This  $v$  is invertible by [T1, Lemma 14] and satisfies the required condition. Q.E.D.

Cleft forms remain useful for us to examine inner actions of coalgebras or Hopf algebras. See [Ma2, Section 3].

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